

FORM PTO-1390 REV. 5-93 <b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEYS DOCKET NUMBER <b>P04,0377</b> U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/509805</div>
INTERNATIONAL APPLICATION NO. <b>PCT/EP03/04789</b>	INTERNATIONAL FILING DATE <b>7 May 2003</b>	PRIORITY DATE CLAIMED <b>8 May 2002</b>
TITLE OF INVENTION: <b>"METHOD, PRINTING APPLIANCE AND COMPUTER PROGRAM PRODUCT FOR PRINTING A FILE ON A STACK OF SHEETS CONTAINING          INSERTED INDEX SHEETS"</b>		
APPLICANT(S) FOR DO/EO/US <b>Hartwig SCHWIER, Dieter JÖRGENS, Hubert DREXLER and Ulrich Bäumler</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>Unexecuted</b></li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11. to 16. below concern other document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; <b>(PTO 1449, Prior Art, Search Report).</b></li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> A substitute specification. <b>Marked up version of Substitute Specification</b></li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> <b>EXPRESS MAIL #EV 361385722 US Mailed September 30, 2004.</b></li> <li>b. <input checked="" type="checkbox"/> <b>Certified Copy of Priority Document #102 20 645.7</b></li> <li>c. <input type="checkbox"/></li> </ol> </li> </ol>		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.55) <b>10/509805</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP03/04789</b>		ATTORNEY'S DOCKET NUMBER <b>P04,0377</b>	
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<b>17. <input checked="" type="checkbox"/> The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO ..... \$920.00  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) . \$730.00  No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2))..... \$770.00  Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO ..... \$1080.00  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				CALCULATIONS		PTO USE ONLY	

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	11-20 =		X \$18.00	\$	
Independent Claims	4-3 =	1	X \$86.00	<b>\$86.00</b>	
Multiple Dependent Claims			\$290.00 +		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1006.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)					
<b>SUBTOTAL =</b>				<b>\$1006.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	<b>\$1006.00</b>
<b>TOTAL NATIONAL FEE =</b>				<b>\$1006.00</b>	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1006.00</b>	
				Amount to be refunded	
				charged	

a. ☒ A check in the amount of **\$1006.00** to cover the above fees is enclosed.


b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

**Schiff Hardin LLP**  
**Patent Department**  
**6600 Sears Tower**  
**Chicago, Illinois 60606-6473**  
**Customer Number 26574**

  
**SIGNATURE**

**Brett A. Valiquet**  
**NAME**

**27,841**  
**Registration Number**

10/509805

DT04 Rec'd PCT/PTO 30 SEP 2004  
**CERTIFICATION OF MAILING**

"Express Mail" Mailing Label Number **EV 361385722 US**

Date of Deposit: September 30, 2004

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, **MAIL STOP PCT**, P.O. Box 1450, Alexandria VA 22313-1450.

**Hartwig Schwier, Dieter Jörgens, Hubert Drexler and Ulrich Bäuml**

PCT Application entitled: **"METHOD, PRINTING APPLIANCE AND COMPUTER PROGRAM PRODUCT FOR PRINTING A FILE ON A STACK OF SHEETS CONTAINING INSERTED INDEX SHEETS"**

Our Case No. P04,0377 (26970-0342)

Signature of person mailing application and fee



OLH 4081246.1